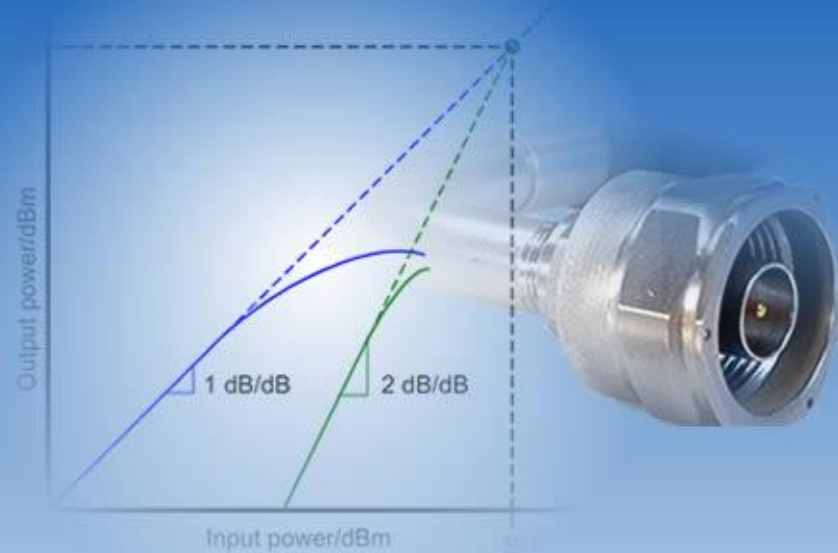
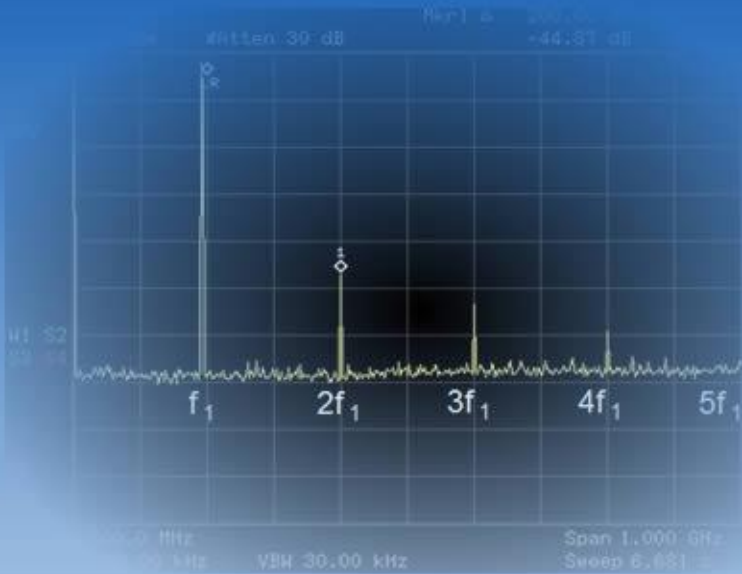


RF, Microwave & Wireless





Non-Linearity Phenomenon

Physical causes of nonlinearity

- ▶ Operation under finite power-supply voltages
- ▶ Essential non-linear characteristics of electronic active components (transistors, diodes, etc.)
- ▶ Mismatch of input signal levels to a design
- ▶ Mismatch of number of input signals to a design

Problems caused by nonlinear distortions

▶ Transmission

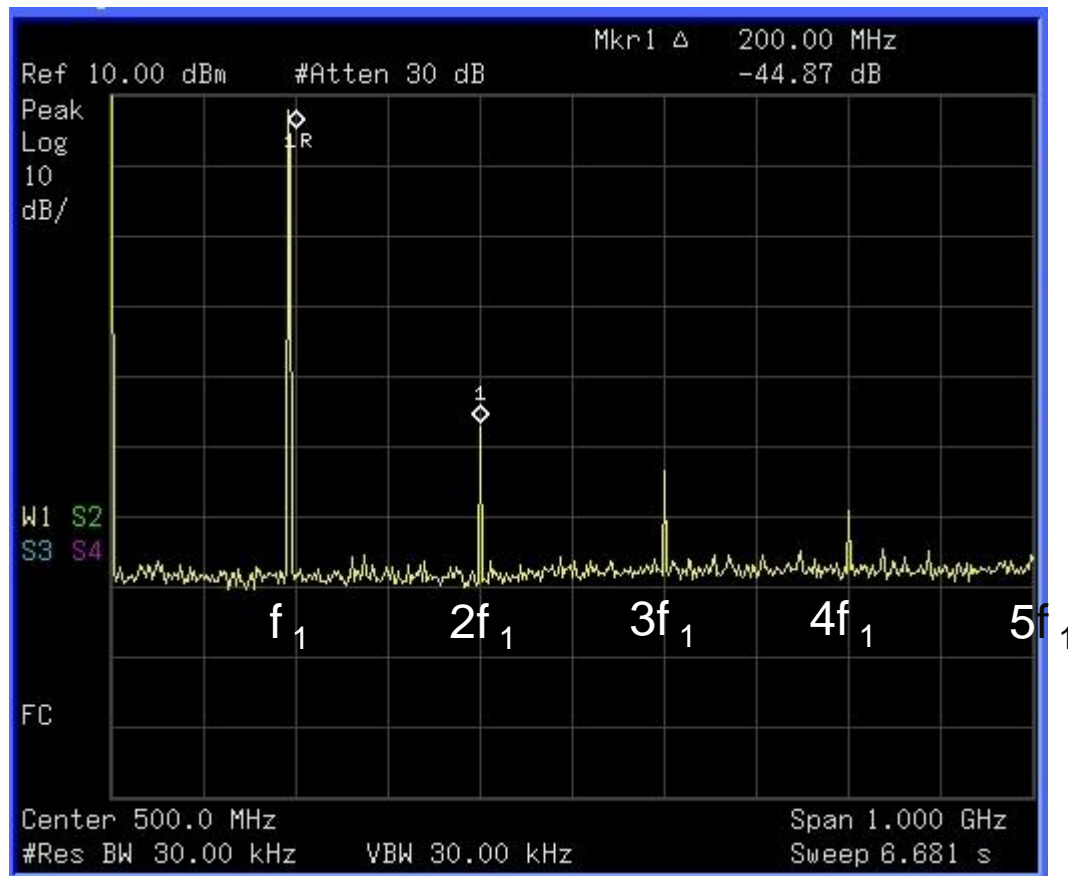
- Harmonics
- Emission Mask spillover
- EVM and Image Rejection degradation
- Reduce efficiency (by backoff)

Problems caused by nonlinear distortions

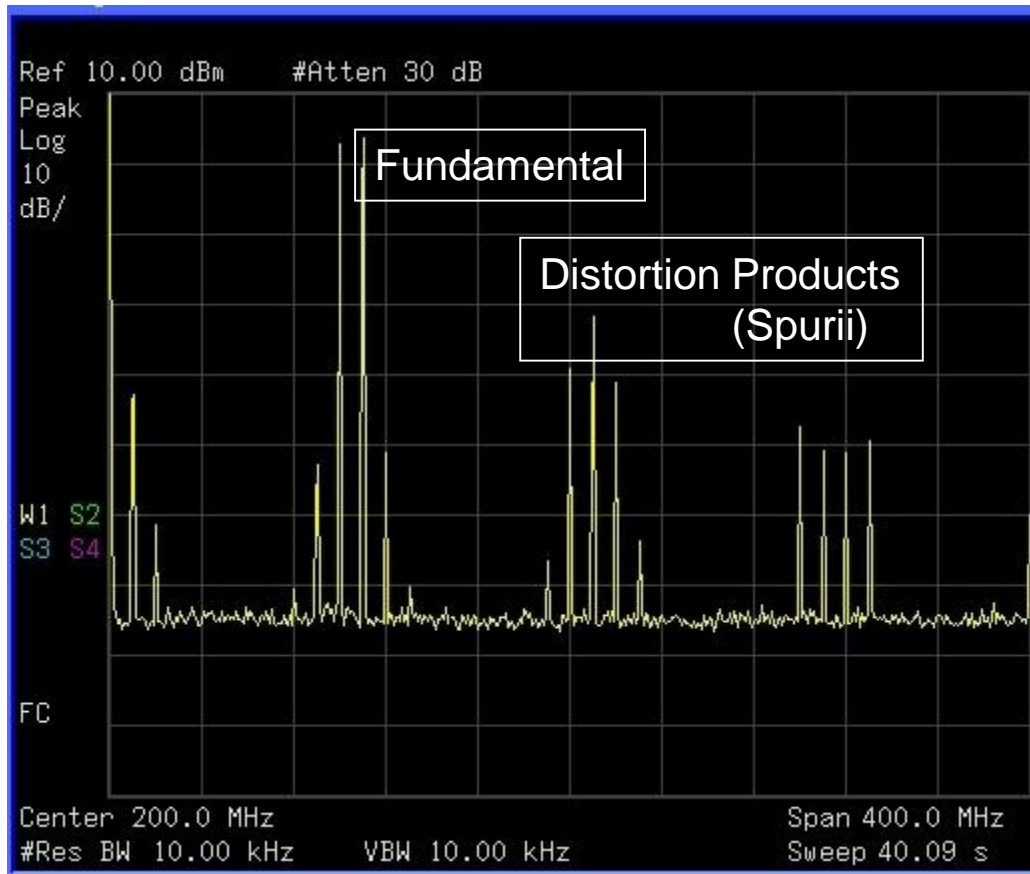
▶ Reception

- Spurious (“signals” show up, even if nonexistent at input)
- Reduce dynamic range
- Reduce sensitivity (desensitization)
- Blocking of desired signals

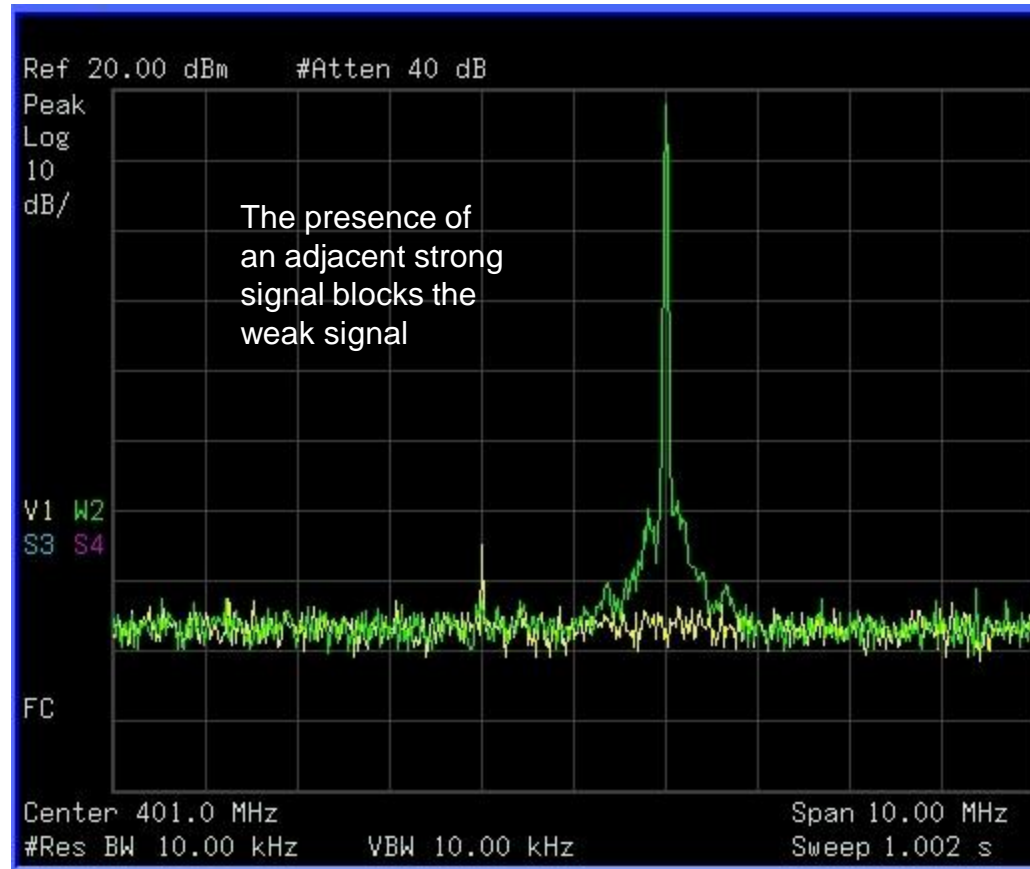
Harmonic Distortion



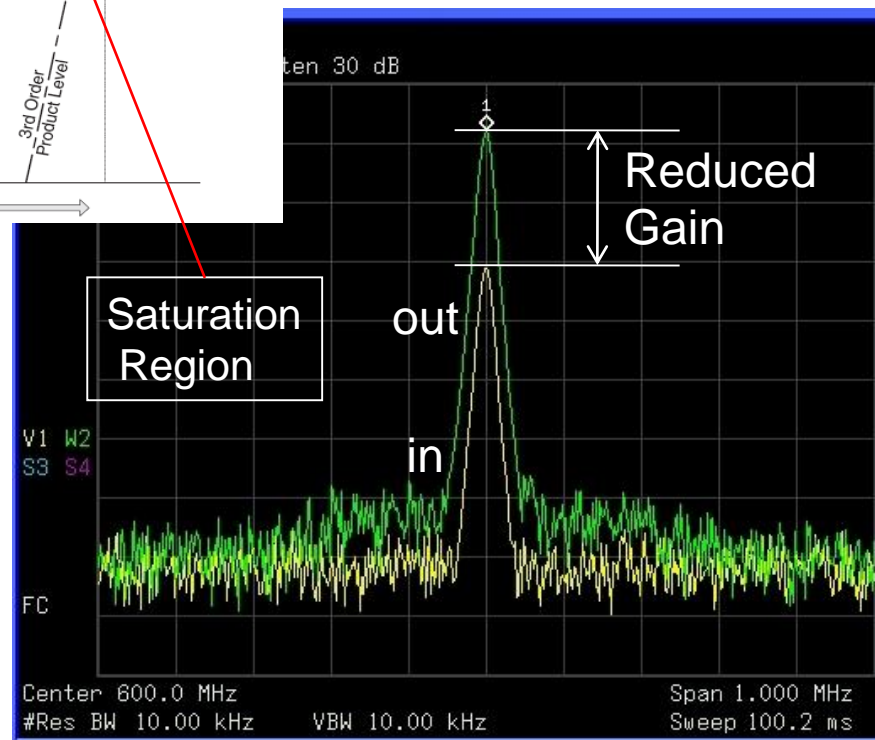
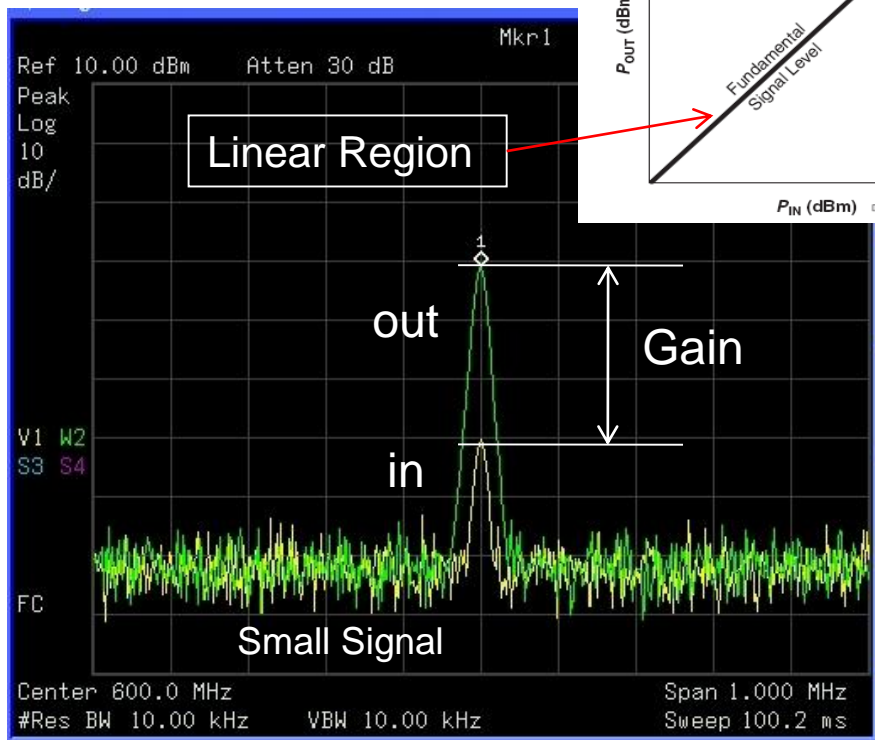
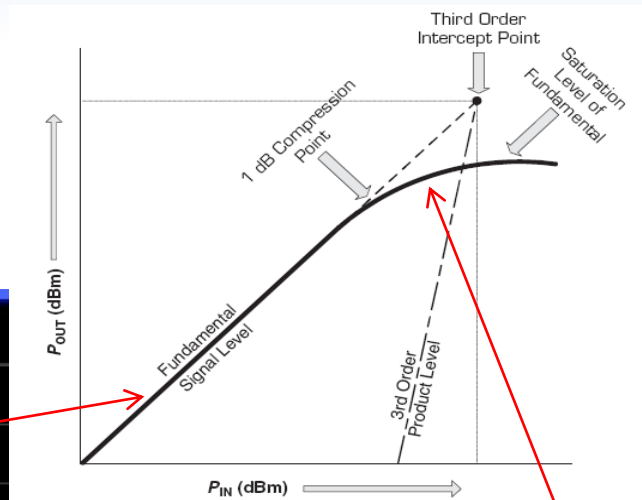
Intermodulation



Blocking (De-Sensing)



Compression



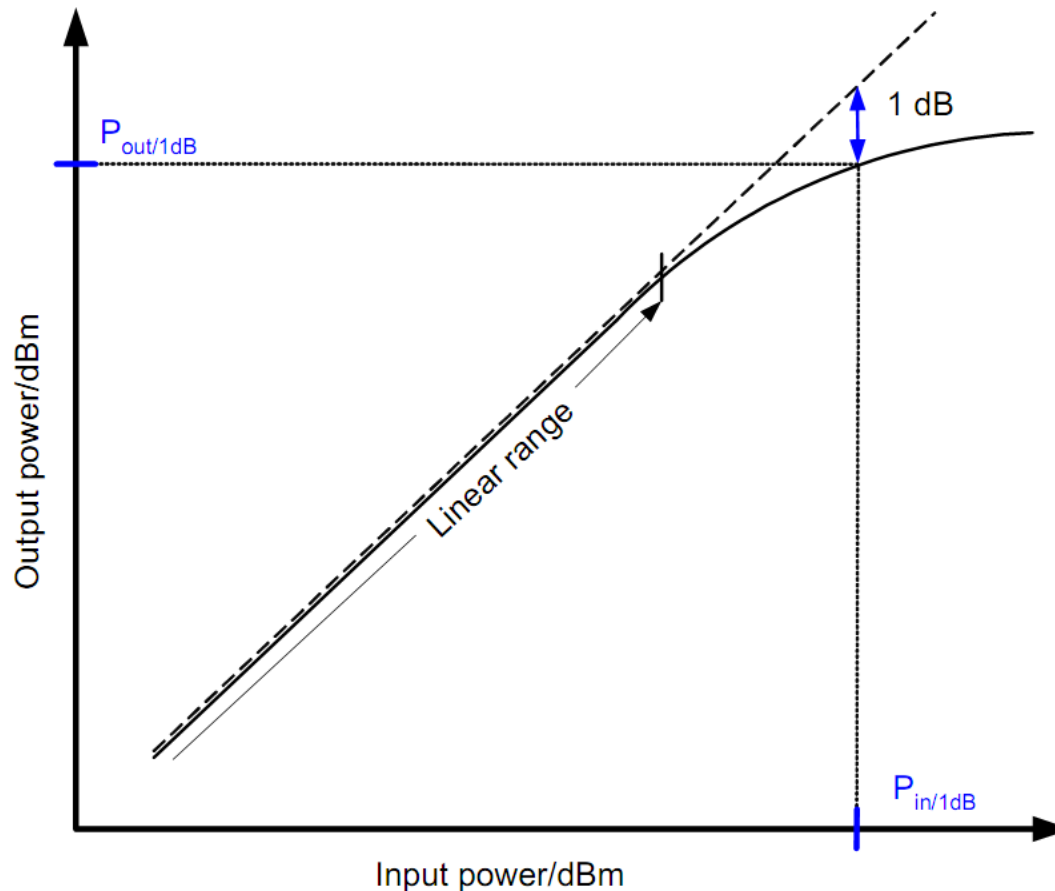
1 dB compression point

► Definition

The **1 dB compression point** specifies the output power of an amplifier at which the output signal lags behind the nominal output power by 1 dB.

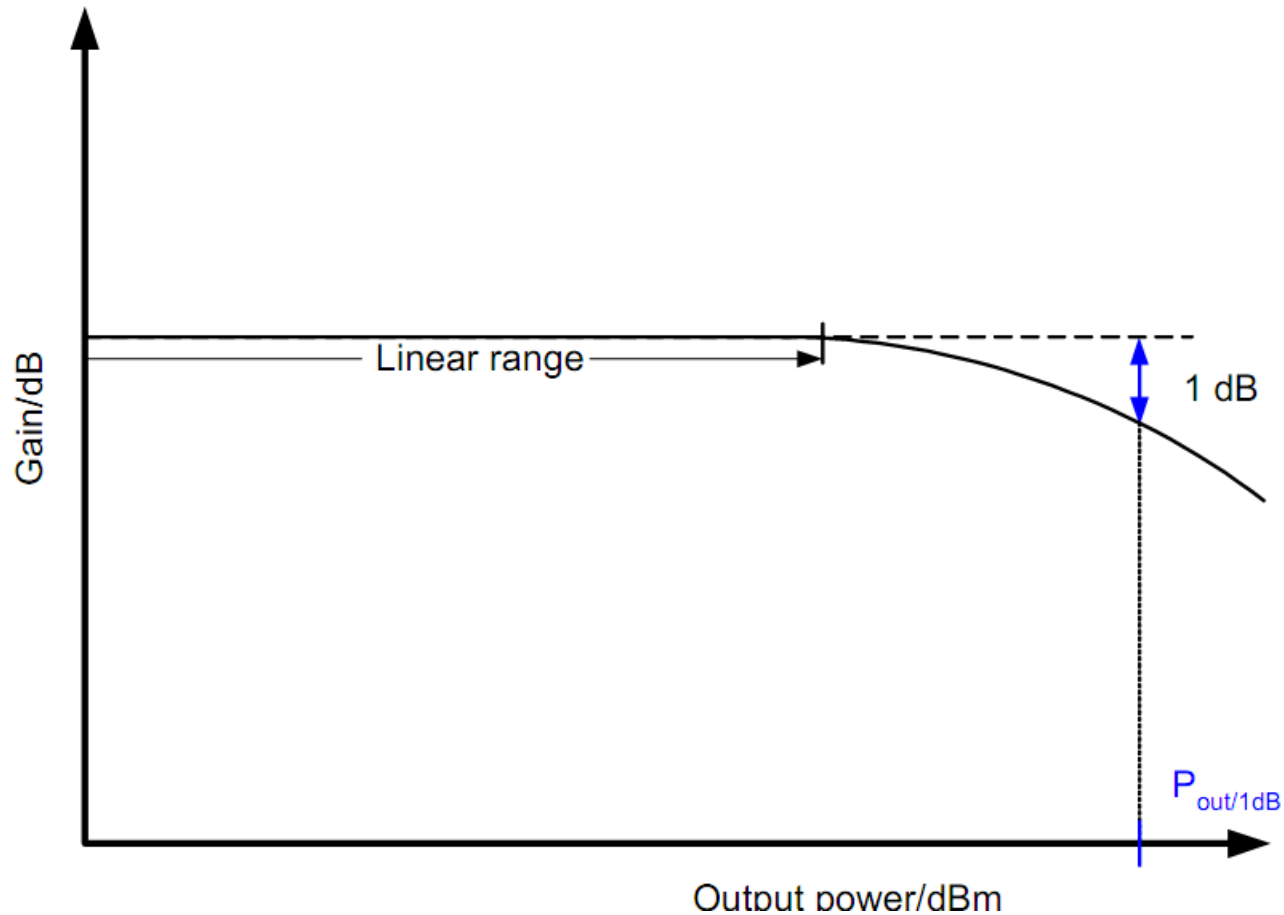
Compression

- ▶ Definition of the 1 dB compression point at the amplifier input ($P_{in/1dB}$) and at the amplifier output ($P_{out/1dB}$)

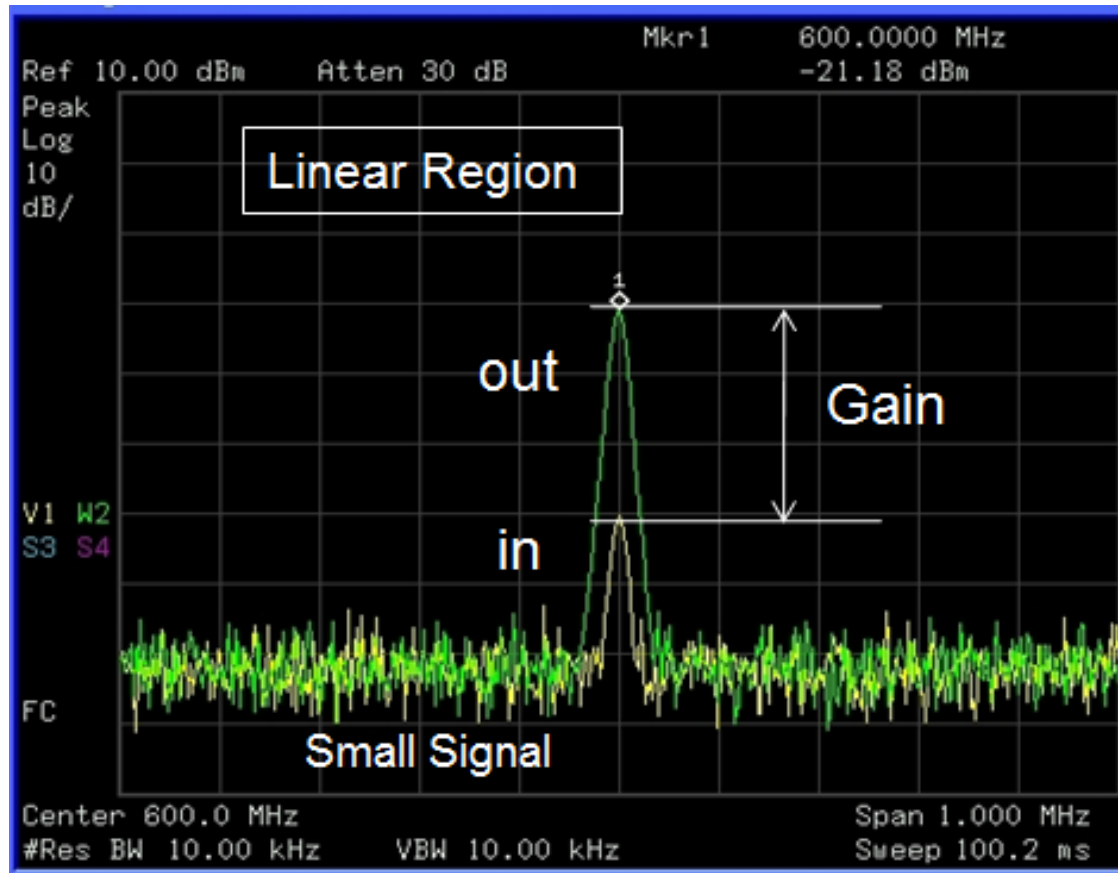


Compression

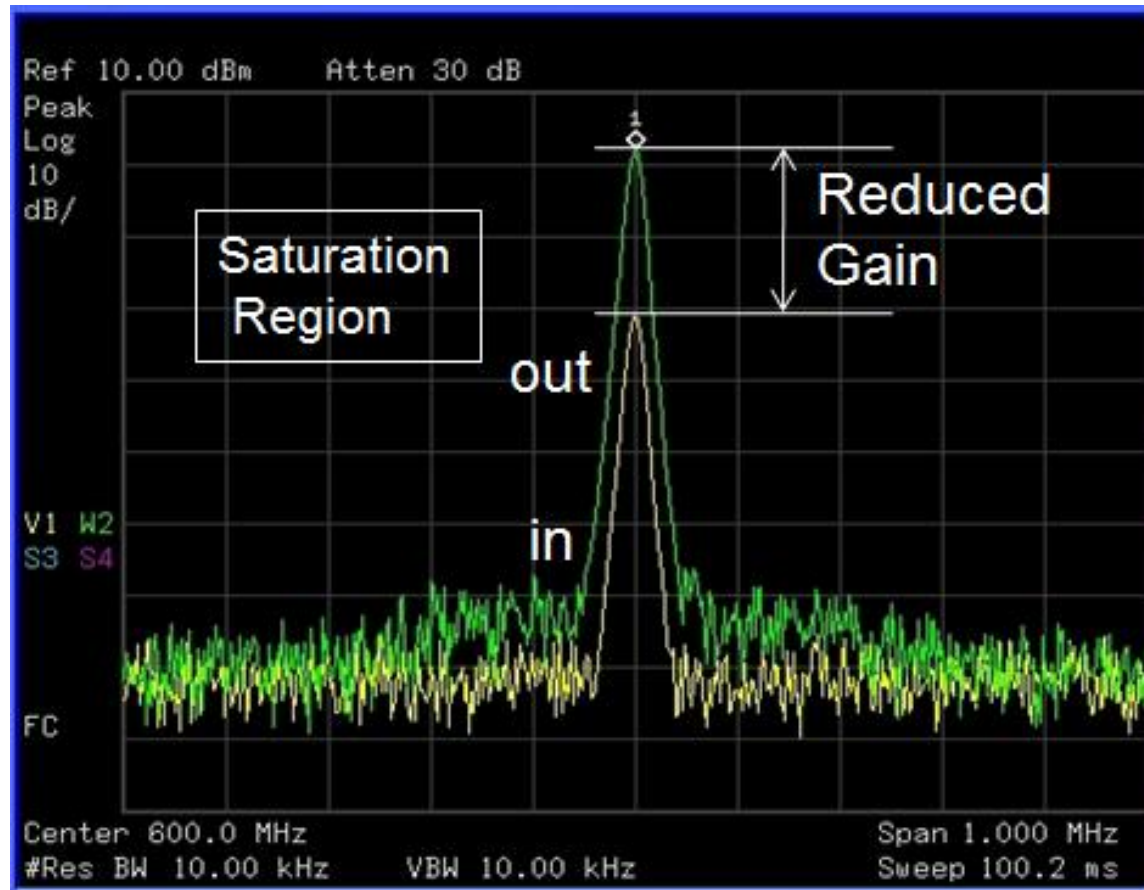
- Gain versus output power and definition of the 1 dB compression



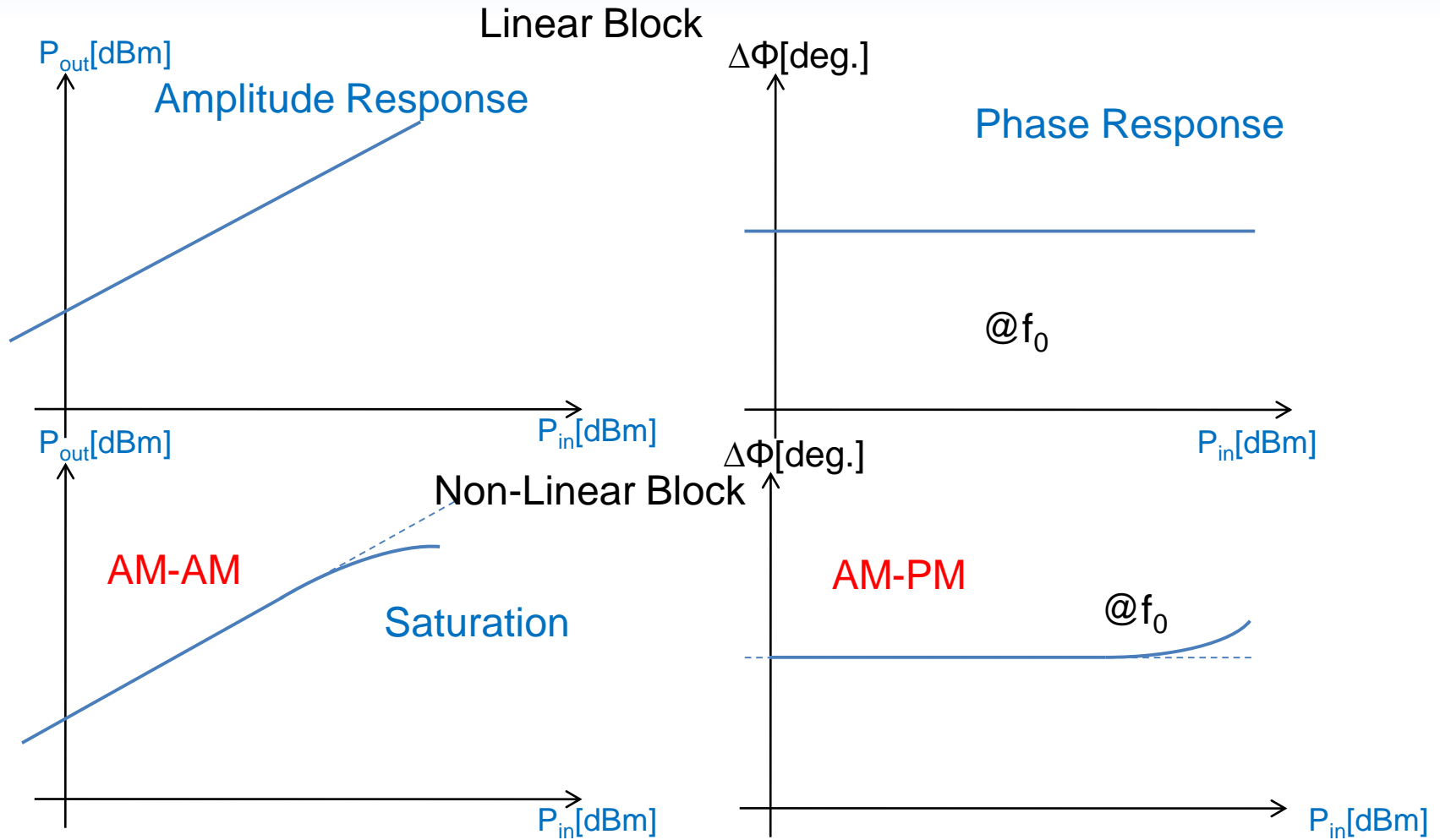
Linear Region



Saturation Region



Models of nonlinear blocks and their characterization



Nonlinearities

► An ideal amplifier

$$P_{out}(t) = G_P \cdot P_{in}(t)$$

where $P_{out}(t)$ power at output of twoport

$P_{in}(t)$ power at input of twoport

G_P power gain of twoport

The connection to the input and output voltage is as follows:

$$P_{in}(t) = \frac{1}{R_{in}} \cdot v_{in}^2(t)$$

$$P_{out}(t) = \frac{1}{R_L} \cdot v_{out}^2(t)$$

The voltage transfer function of the linear two-port is as follows:

$$v_{out}(t) = G_v \cdot v_{in}(t)$$

Nonlinearities

► In practice

$$v_{out}(t) = \sum_{n=0}^{\infty} a_n \cdot v_{in}^n(t) = a_0 + a_1 \cdot v_{in}(t) + a_2 \cdot v_{in}^2(t) + a_3 \cdot v_{in}^3(t) + \dots$$

- where $v_{out}(t)$ voltage at output of two-port
 $v_{in}(t)$ voltage at input of two port
 a_0 DC component
 a_1 gain \sqrt{G}
 a_n coefficients of the nonlinear
element of the voltage gain

Single-tone driving – Harmonics

- ▶ If a single sinusoidal signal $v_{in}(t)$ is applied to the input of the two port

$$v_{in.}(t) = \hat{V}_{in} \cdot \sin(2\pi f_{in,1} \cdot t)$$

\hat{V}_{in} : peak value of $v_{in}(t)$

and

$f_{in,1}$: frequency of $v_{in}(t)$,

$$v_{in.}(t) = \hat{V}_{in} \cdot \sin(\omega_{in,1} \cdot t)$$

$\omega_{in,1}(t) = 2\pi f_{in,1}$ (angular frequency)

this is referred to as single-tone driving.

$$v_{out}(t) = a_0 + a_1 \cdot v_{in}(t) + a_2 \cdot v_{in}^2(t) + a_3 \cdot v_{in}^3(t) + \dots =$$

Single-tone driving – Harmonics

► Applying the trigonometric identity:

$$\sin^2(x) = \frac{1}{2}(1 - \cos 2x) \text{ and } \sin^3(x) = \frac{1}{4}(3 \cdot \sin x - \sin 3x)$$

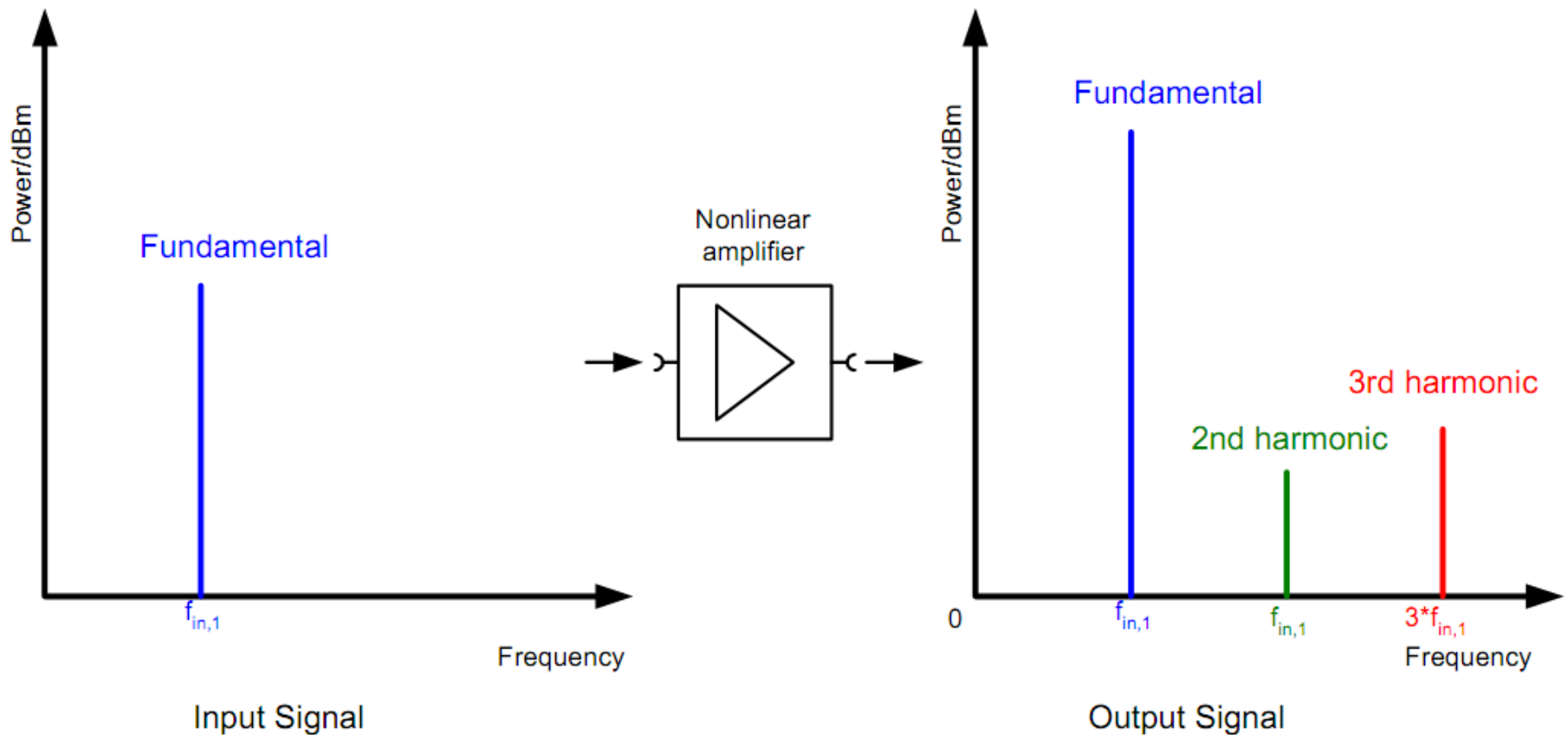
$$a_0 + a_1 \cdot \hat{V}_{in}(t) \sin(\omega_{in,1} \cdot t) + a_2 \cdot \hat{V}_{in}^2 \cdot \sin^2(\omega_{in,1} \cdot t) + a_3 \cdot \hat{V}_{in}^3 \cdot \sin^3(\omega_{in,1} \cdot t) + \dots$$

$$= a_0 + a_1 \cdot \hat{V}_{in}(t) \cdot \sin(\omega_{in,1}t) + 0.5 \cdot a_2 \cdot \hat{V}_{in}^2 - 0.5 \cdot a_2 \cdot \hat{V}_{in}^2 \cdot \cos(2\omega_{in,1}t) + \\ + 0.75 \cdot a_3 \cdot \hat{V}_{in}^3 \cdot \sin \omega_{in,1}t - 0.25 \cdot a_3 \cdot \hat{V}_{in}^3 \cdot \sin(3\omega_{in,1}t) \dots$$

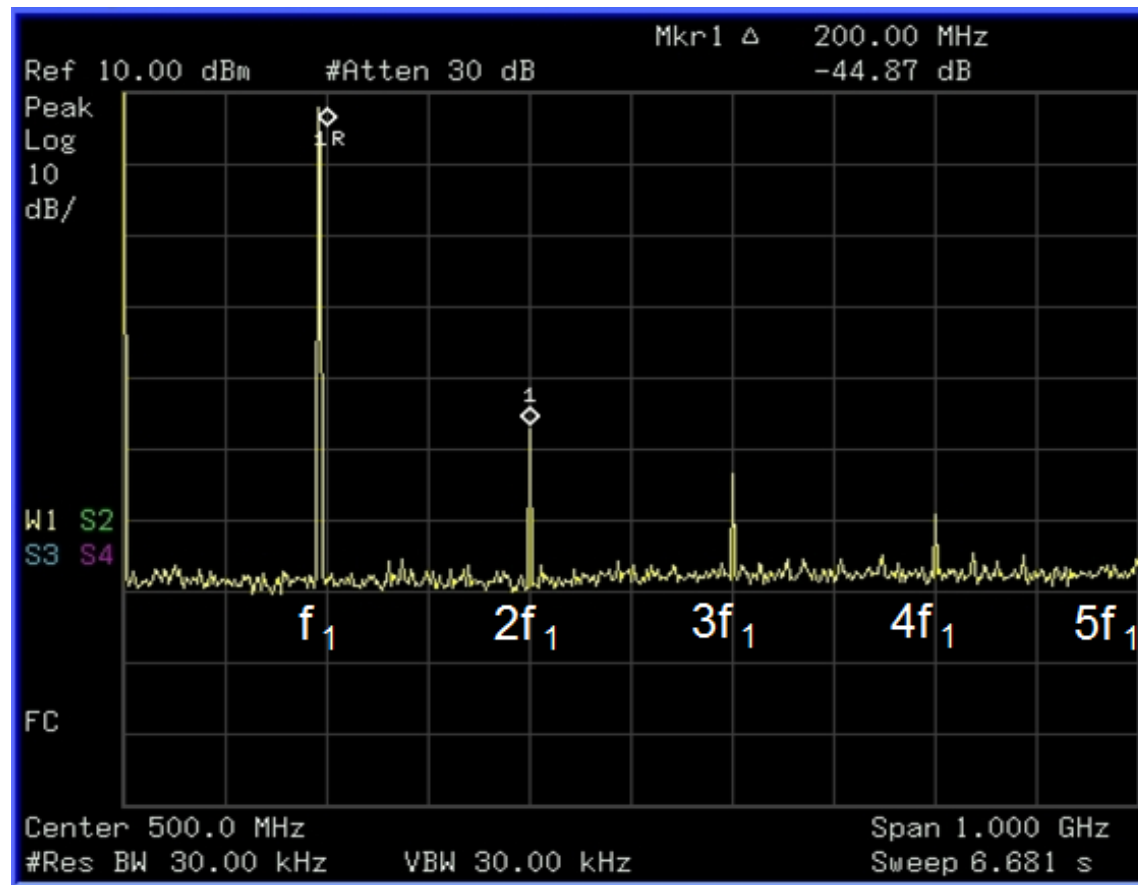
$$= a_0 + 0.5 \cdot a_2 \cdot \hat{V}_{in}^2 + (a_1 \cdot \hat{V}_{in} + 0.75 \cdot a_3 \cdot \hat{V}_{in}^3) \cdot \sin(\omega_{in,1}t) + \\ - 0.5 \cdot a_2 \cdot \hat{V}_{in}^2 \cdot \cos(2\omega_{in,1}t) - 0.25 \cdot a_3 \cdot \hat{V}_{in}^3 \cdot \sin(3\omega_{in,1}t) \dots$$

Single-tone driving – Harmonics

- Spectrum before and after a nonlinear two-port block:



Single-tone driving – Harmonics



Single-tone driving – Harmonics

- ▶ The levels of harmonics increase over proportionally with their order as the input level increases, i.e.
 - Changing the input level by A dB
 - Changes the n^{th} harmonic level by $n \cdot A$ dB

Note: This assumes the **memory-less modelling** applies.

Two-tone driving – Intermodulation

- ▶ Two-tone driving applies a signal $v(t)$ into the input of the two-port block.
- ▶ This signal consists of the sum of two sinusoidal harmonic tones.

$$V_{in.}(t) = \hat{V}_{in,1} \cdot \sin(2\pi f_{in,1} \cdot t) + \hat{V}_{in,2} \cdot \sin(2\pi f_{in,2} \cdot t)$$

where $\hat{V}_{in,1,2}$ peak values of the two sinusoidal signals

$f_{in,1}, f_{in,2}$ signal frequencies

$$\omega_1 = 2 \cdot \pi \cdot f_{in,1} \text{ and } \omega_2 = 2 \cdot \pi \cdot f_{in,2}.$$

Two-tone driving – Intermodulation

- ▶ The new frequencies produced may be evaluated using the following trigonometric identities:

$$\sin^2(x) = \frac{1}{2}(1 - \cos 2x)$$

$$\sin^2(x) \cdot \sin(y) = \frac{1}{2}(1 - \cos 2x) \cdot \sin(y)$$

$$\sin^3(x) = \frac{1}{4}(3 \cdot \sin x - \sin 3x)$$

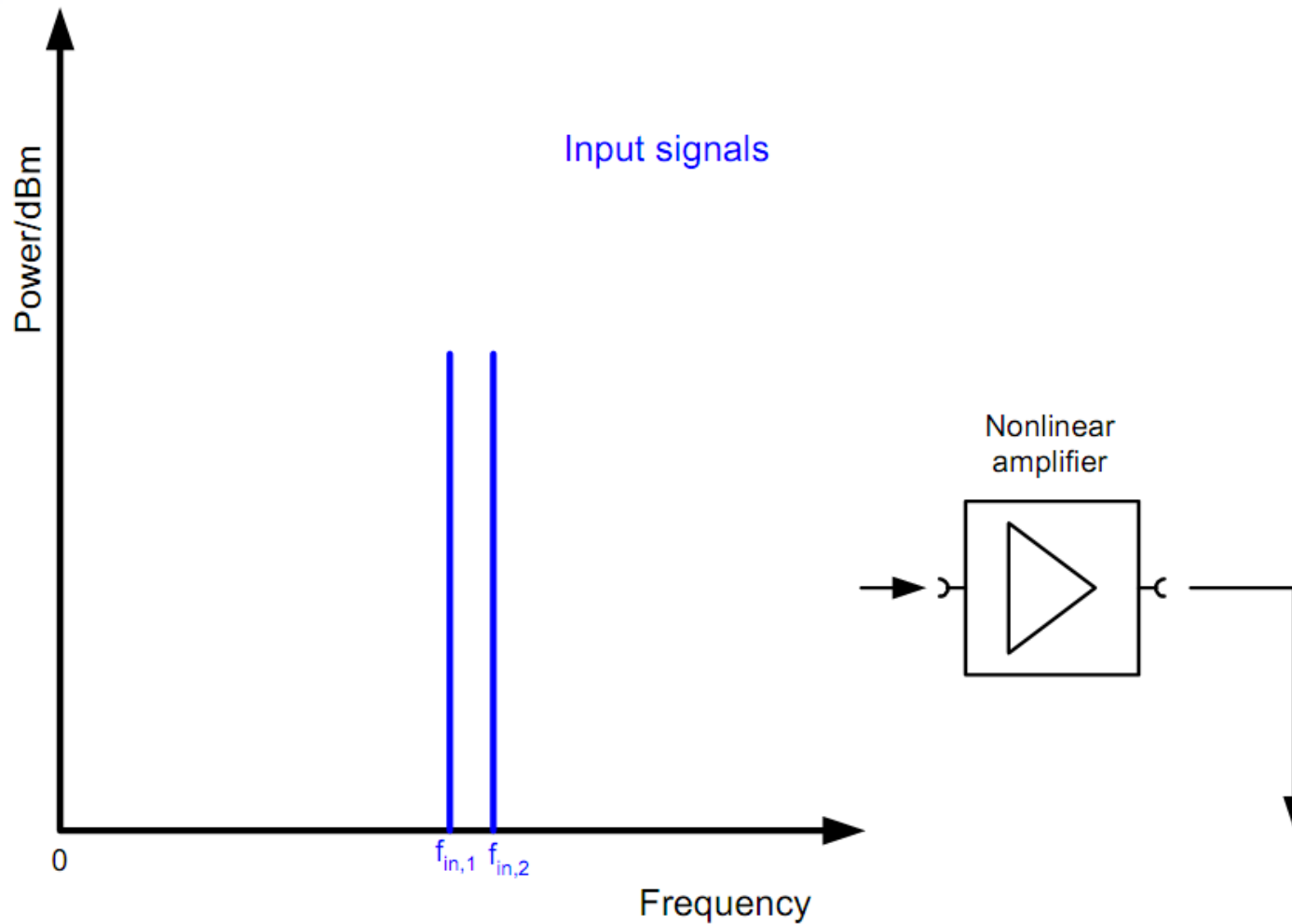
$$\cos(2x) \cdot \sin(y) = \frac{1}{2}\sin(2x - y) + \frac{1}{2}\sin(2x + y)$$

$$\sin(x) \cdot \sin(y) = \frac{1}{2}\cos(x - y) - \frac{1}{2}\cos(x + y)$$

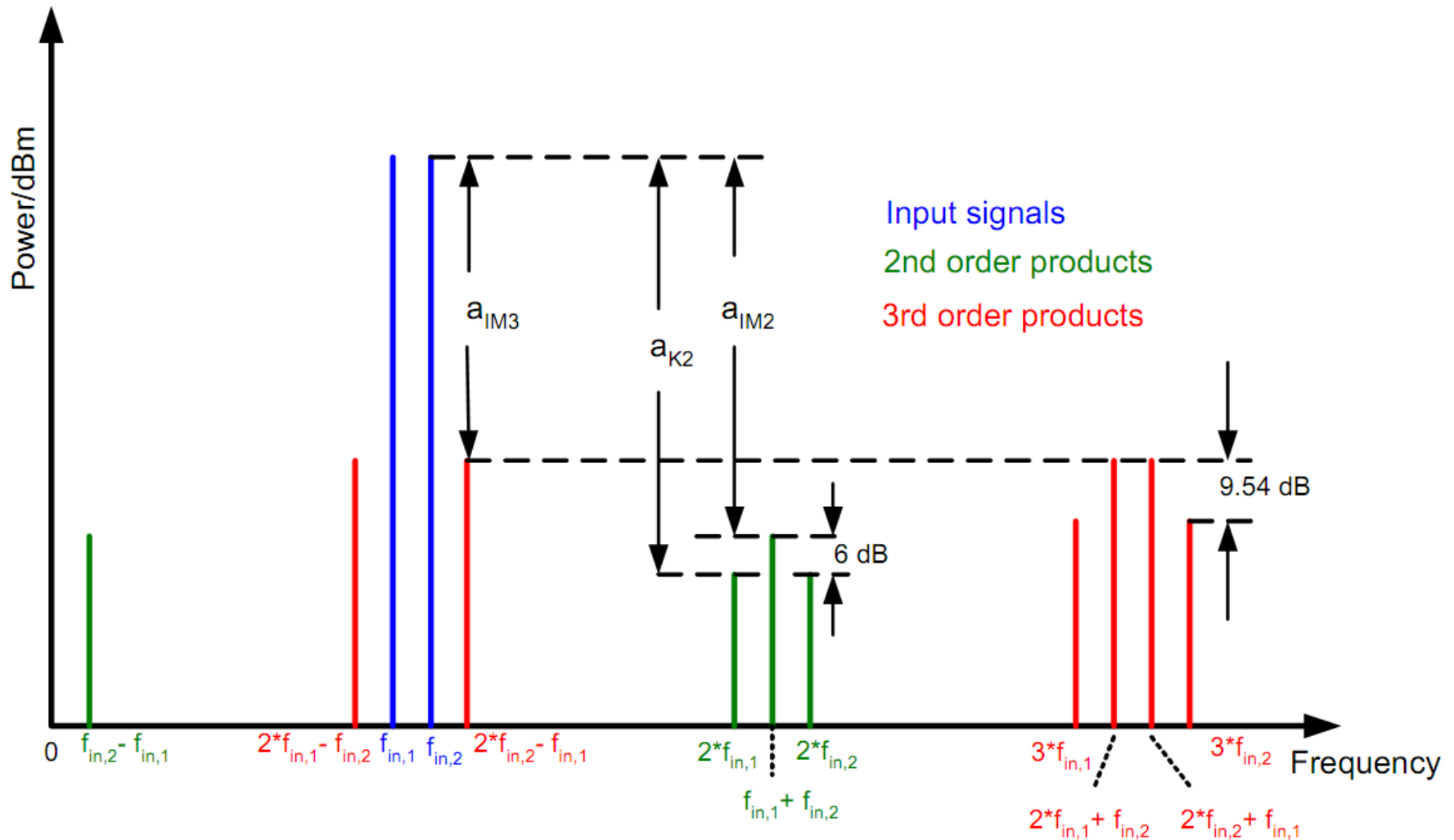
Intermodulation products up to max. 3rd order with two-tone driving

$v_{out}(t) = \frac{1}{2} \cdot a_2 \cdot (V_1^2 + V_2^2)$	DC component
$+ (a_1 \cdot V_1 + \frac{3}{4} \cdot a_3 \cdot V_1^3 + \frac{3}{2} \cdot a_3 \cdot V_1 V_2^2) \cdot \sin(\omega_1 \cdot t)$	Fundamental (first harmonic)
$+ (a_1 \cdot V_2 + \frac{3}{4} \cdot a_3 \cdot V_2^3 + \frac{3}{2} \cdot a_3 \cdot V_1^2 V_2) \cdot \sin(\omega_2 \cdot t)$	
$- \frac{1}{2} \cdot a_2 \cdot V_1^2 \cdot \cos(2 \cdot \omega_1 \cdot t)$	Second harmonic
$- \frac{1}{2} \cdot a_2 \cdot V_2^2 \cdot \cos(2 \cdot \omega_2 \cdot t)$	
$+ a_2 \cdot V_1 \cdot V_2 \cdot \cos((\omega_2 - \omega_1) \cdot t)$	Second-order
$- a_2 \cdot V_1 \cdot V_2 \cdot \cos((\omega_2 + \omega_1) \cdot t)$	intermodulation products
$- \frac{1}{4} \cdot a_3 \cdot V_1^3 \cdot \sin(3 \cdot \omega_1 \cdot t)$	Third harmonic
$- \frac{1}{4} \cdot a_3 \cdot V_2^3 \cdot \sin(3 \cdot \omega_2 \cdot t)$	
$+ \frac{3}{4} \cdot a_3 \cdot V_1^2 V_2 \cdot \sin((2 \cdot \omega_1 - \omega_2) \cdot t)$	Third-order
$- \frac{3}{4} \cdot a_3 \cdot V_1^2 V_2 \cdot \sin((2 \cdot \omega_1 + \omega_2) \cdot t)$	intermodulation products
$+ \frac{3}{4} \cdot a_3 \cdot V_1 V_2^2 \cdot \sin((2 \cdot \omega_2 - \omega_1) \cdot t)$	
$- \frac{3}{4} \cdot a_3 \cdot V_1 V_2^2 \cdot \sin((\omega_1 + 2 \cdot \omega_2) \cdot t)$	

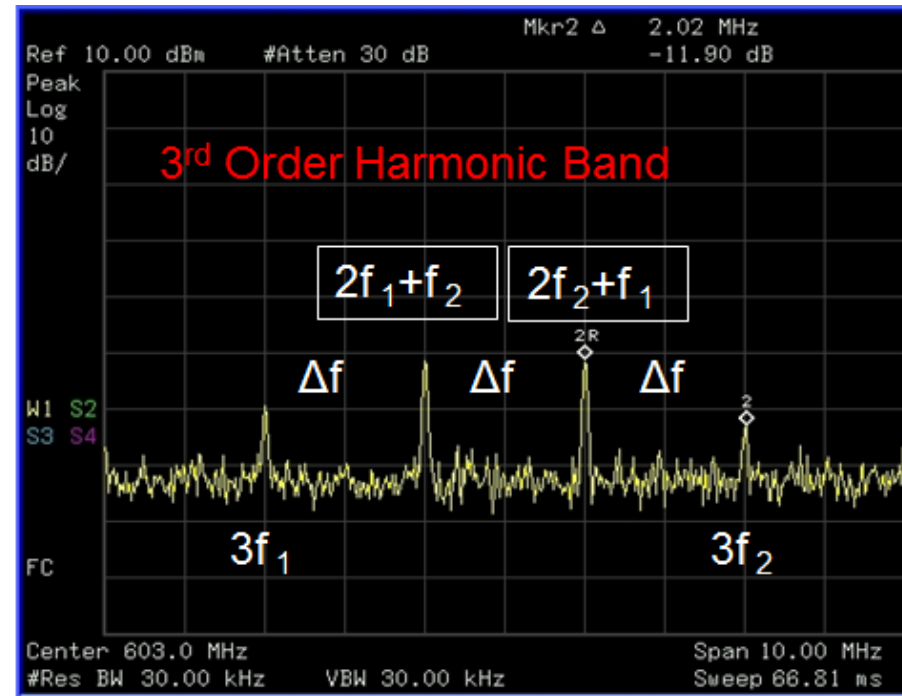
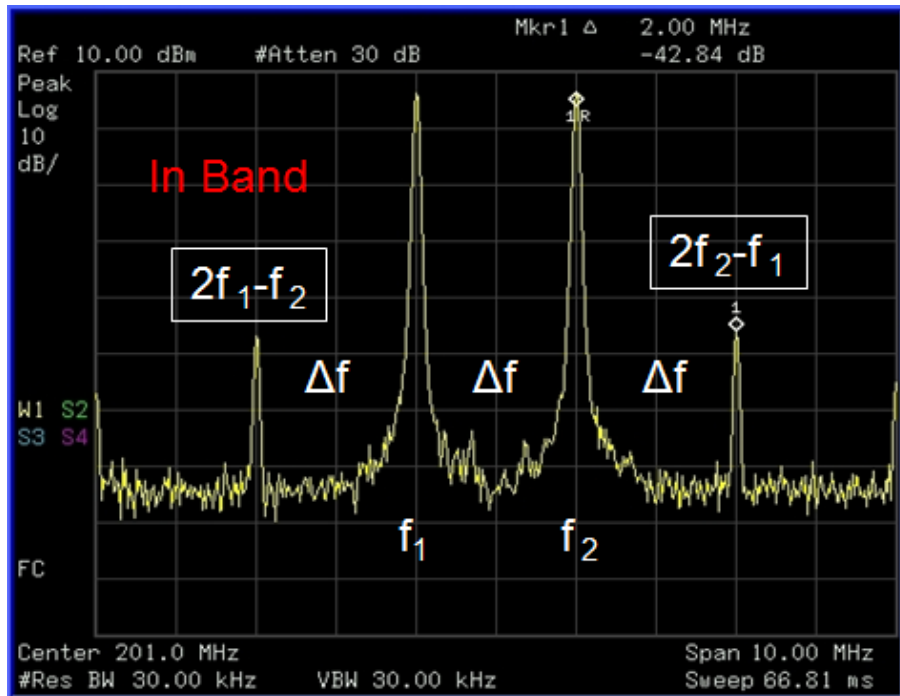
Two-tone driving – Input Signals



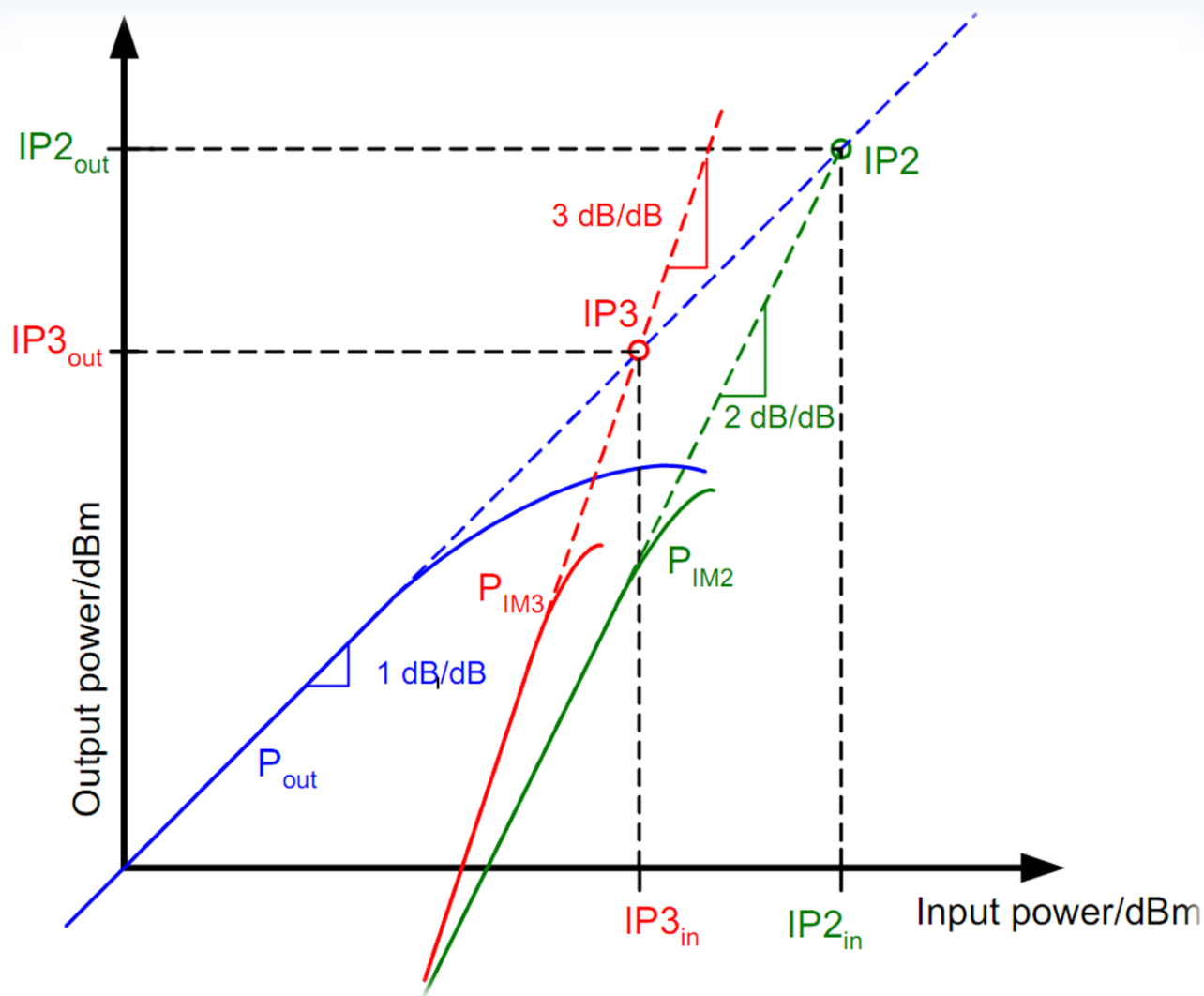
Output spectrum of a nonlinear two-port with two-tone driving for intermodulation products up to max. 3rd order



In-Band and Harmonic Band Spectra



Second and Third Order Intercept Points



Intermodulation products for $V_1=V_2=V$

$$v_{out}(t) = a_2 \cdot V^2$$

DC component

$$+ (a_1 \cdot V + \frac{3}{4} \cdot a_3 \cdot V^3 + \frac{3}{2} \cdot a_3 \cdot V^3) \cdot \sin(\omega_1 \cdot t)$$

Fundamental (first harmonic)

$$+ (a_1 \cdot V + \frac{3}{4} \cdot a_3 \cdot V^3 + \frac{3}{2} \cdot a_3 \cdot V^3) \cdot \sin(\omega_2 \cdot t)$$

$$- \frac{1}{2} \cdot a_2 \cdot V^2 \cdot \cos(2 \cdot \omega_1 \cdot t)$$

Second harmonic

$$- \frac{1}{2} \cdot a_2 \cdot V^2 \cdot \cos(2 \cdot \omega_2 \cdot t)$$

$$+ a_2 \cdot V^2 \cdot \cos((\omega_2 - \omega_1) \cdot t)$$

Second-order

$$- a_2 \cdot V^2 \cdot \cos((\omega_2 + \omega_1) \cdot t)$$

intermodulation products

$$- \frac{1}{4} \cdot a_3 \cdot V^3 \cdot \sin(3 \cdot \omega_1 \cdot t)$$

Third harmonic

$$- \frac{1}{4} \cdot a_3 \cdot V^3 \cdot \sin(3 \cdot \omega_2 \cdot t)$$

$$+ \frac{3}{4} \cdot a_3 \cdot V^3 \cdot \sin((2 \cdot \omega_1 - \omega_2) \cdot t)$$

Third-order

$$- \frac{3}{4} \cdot a_3 \cdot V^3 \cdot \sin((2 \cdot \omega_1 + \omega_2) \cdot t)$$

intermodulation products

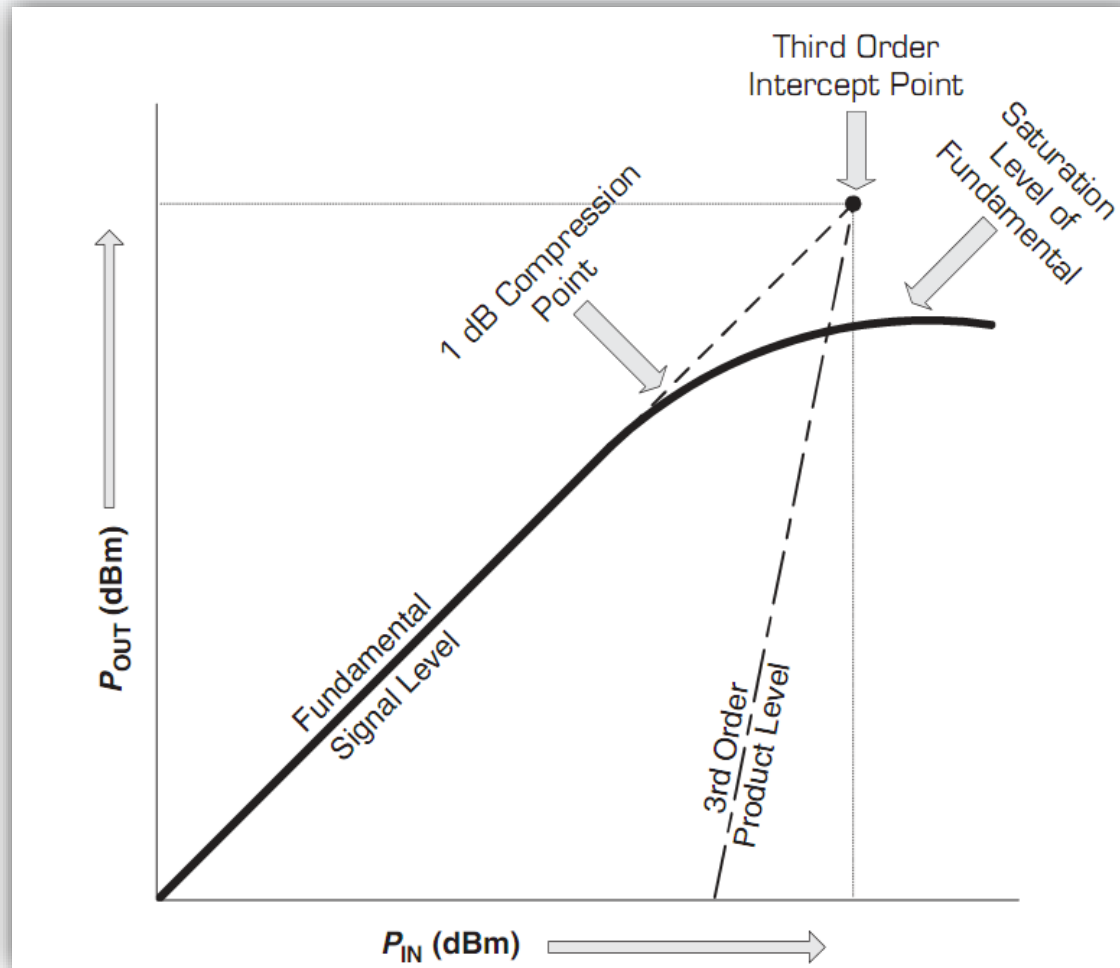
$$+ \frac{3}{4} \cdot a_3 \cdot V^3 \cdot \sin((2 \cdot \omega_2 - \omega_1) \cdot t)$$

$$- \frac{3}{4} \cdot a_3 \cdot V^3 \cdot \sin((\omega_1 + 2 \cdot \omega_2) \cdot t)$$

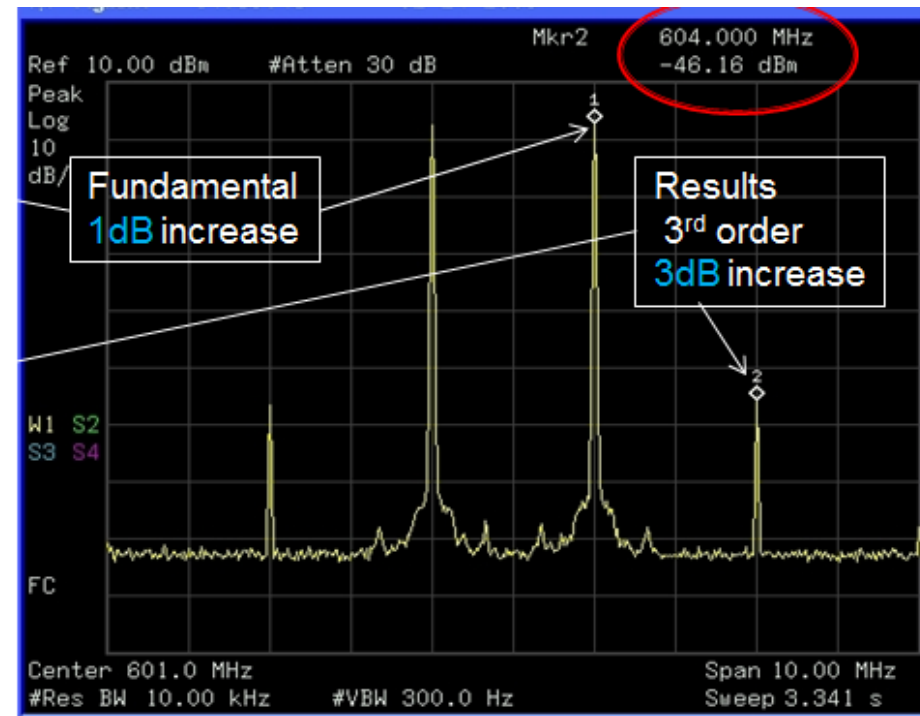
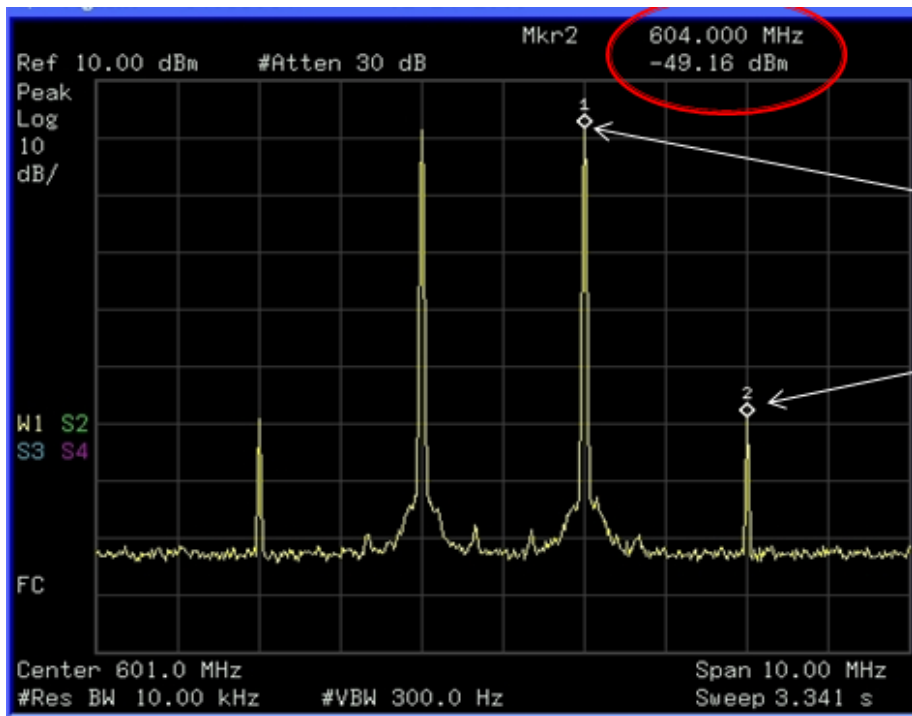
Slope of OIP2 and OIP3 vs. Pin [dBm]

- ▶ The log-log power plot of IM_2 is of slope **2dB/dB**
- ▶ The log-log power plot of IM_3 is of slope **3dB/dB**
- ▶ The log-log power plot of IM_N is of slope **NdB/dB**

The third-order intercept and 1 dB compression points



Fundamental vs. 3rd Order



1dB power increase of (each) input signals pair => output 3rd order product increase of 3dB

OIP3 and OIM3 – Linear Scale

$$\text{At IP}_3: \frac{a_1^2 A^2}{2} = \frac{9}{4} a_3^2 \left(\frac{A^2}{2} \right)^3$$

$$\text{thus } \left(\frac{A^2}{2} \right)_{\text{IP}_3} = \frac{2}{3} \left| \frac{a_1}{a_3} \right|$$

$$\left(P_{\text{out1}} \right)_{\text{IP}_3} = \frac{2}{3} \left| \frac{a_1^3}{a_3} \right| = \text{OIP}_3$$

$$\begin{aligned} \text{Also } \text{IM}_{\text{out3}} &= \frac{9}{4} a_3^2 P_{\text{in}}^3 = \left(\frac{3}{2} a_3 \right)^2 P_{\text{in}}^3 = \\ &= \left(\frac{3}{2} \frac{a_3}{a_1} \right)^2 a_1^6 P_{\text{in}}^3 = G^3 \frac{1}{\text{OIP}_3^2} P_{\text{in}}^3 \end{aligned}$$

3rd Order Intermodulation Equations

$$OIP_3[dBm] = IIP_3[dBm] + G[dB]$$

$$P_{in}[dBm] = \Delta P_3[dBc] + P_{out3}[dBm] - G[dB]$$

$$\Delta P_3[dBc] = P_{out1}[dBm] - P_{out3}[dBm] =$$

$$= 2(OIP_3[dBm] - P_{out1}[dBm]) =$$

$$= \frac{2}{3}(OIP_3[dBm] - P_{out3}[dBm])$$

3rd Order Intermodulation Equations (2)

$$OIP_3[\text{dBm}] = P_{out1}[\text{dBm}] + \frac{\Delta P_3[\text{dBc}]}{2}$$

$$\begin{aligned} P_{out3}[\text{dBm}] &= 3P_{in}[\text{dBm}] + 3G[\text{dB}] - 2 OIP_3[\text{dBm}] = \\ &= 3P_{out1}[\text{dBm}] - 2 OIP_3[\text{dBm}] \end{aligned}$$

Spurious Free Dynamic Range

► Definition

- **Maximal** to **minimal** input signal power ratio in dB
- **Maximal** signal such that the 2-Tone IM products are at the output noise power level
- **Minimal** signal equals the sensitivity with a prescribed SNR_{out} .

Assume here $\text{SNR}_{\text{out}}=1$ (0dB).

Spurious Free Dynamic Range (cont'd)

$$\Delta P_3 [\text{dBc}] = \frac{2}{3} (OIP_3 [\text{dBm}] - P_{out3} [\text{dBm}])$$

For 3rd order IM Products at the noise level:

$$DR = \frac{2}{3} [OIP_3 - 10 \log(kT_{in} B F_r G)] [dB]$$

If $SNR_{out} \neq 0\text{dB}$ in the sensitivity definition, then:

$$DR = \frac{2}{3} [OIP_3 - 10 \log(kT_{in} B F_r G (\frac{S}{N})_{out})] [dB]$$

Cascade Intercept Point

- Assuming incoherent combining of IM products it is possible to show that:

2nd Order IM's:

$$\frac{1}{OIP_2^{sys}} = \frac{1}{G_2 \dots G_N \cdot OIP_2^{(1)}} + \frac{1}{G_3 \dots G_N \cdot OIP_2^{(2)}} + \dots + \frac{1}{G_N \cdot OIP_2^{(N-1)}} + \frac{1}{OIP_2^{(N)}}$$

3rd Order IM's:

$$\frac{1}{(OIP_3^{sys})^2} = \frac{1}{(G_2 \dots G_N \cdot OIP_3^{(1)})^2} + \frac{1}{(G_3 \dots G_N \cdot OIP_3^{(2)})^2} + \dots + \frac{1}{(G_N \cdot OIP_3^{(N-1)})^2} + \frac{1}{(OIP_3^{(N)})^2}$$

Cascade Intercept Point – Another Form

- Assuming incoherent combining of IM products it is possible to show that:

2nd Order IM's:

$$\frac{1}{OIP_2^{sys}} = \frac{G_T}{G_1 OIP_2^{(1)}} + \frac{G_T}{G_1 G_2 OIP_2^{(2)}} + \dots + \frac{G_T}{G_T OIP_2^{(N)}}$$

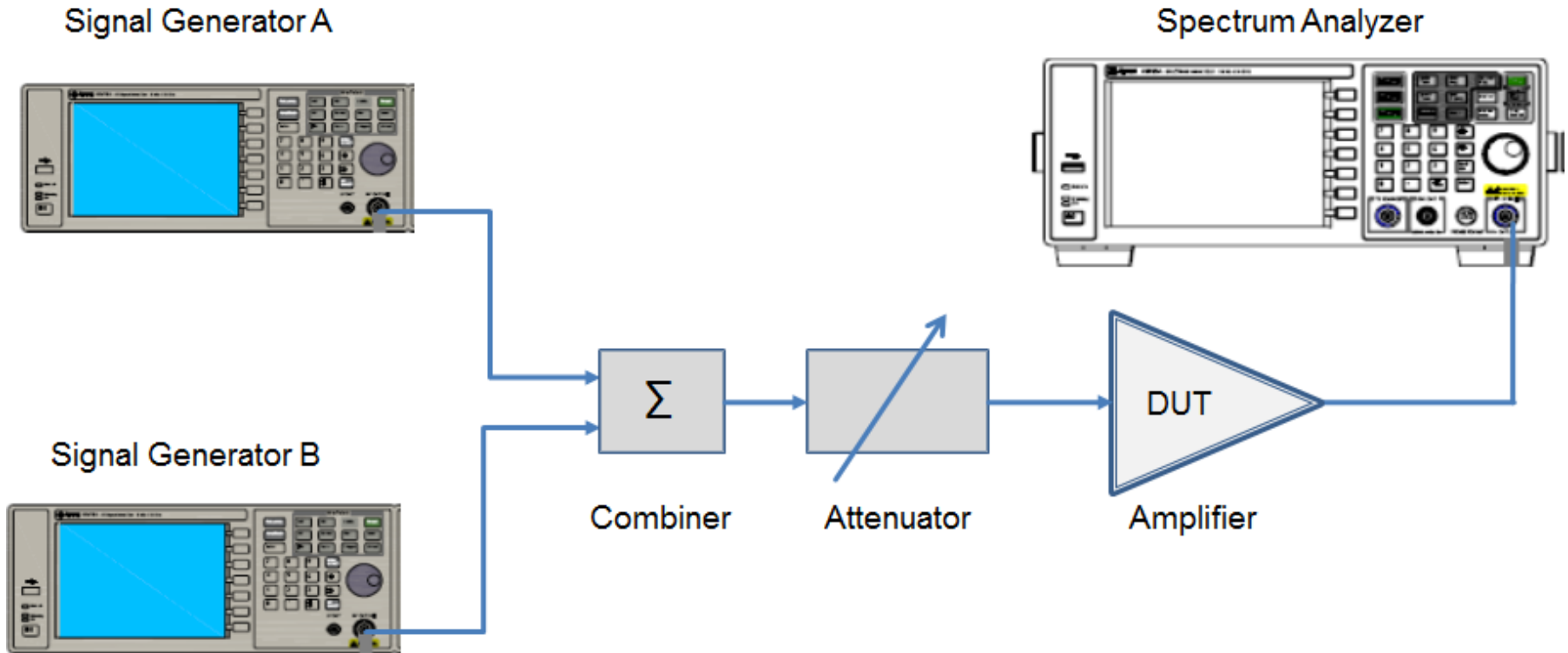
3rd Order IM's:

$$\frac{1}{(OIP_3^{sys})^2} = \frac{(G_T)^2}{(G_1 OIP_3^{(1)})^2} + \frac{(G_T)^2}{(G_1 G_2 OIP_3^{(2)})^2} + \dots + \frac{(G_T)^2}{(G_T OIP_3^{(N)})^2}$$

Measuring Nonlinear Behavior

- Most common measurements: Second level
 - using a network analyzer and power sweeps
 - gain compression
 - AM to PM conversion
 - using a spectrum analyzer + source(s)
 - harmonics, particularly second and third
 - intermodulation products resulting from two or more RF carriers

Two Tone Test – Setup



Third order Spurious Free Dynamic Range, SFRD-3

▶ Spurious Free Dynamic Range

▶ Definition

- Maximal to minimal input signal power ratio in dB
- Maximal signal such that the 2-Tone IM products are at the output noise power level
- Minimal signal equals the sensitivity with a prescribed SNR_{out} .
Assume here (or if not specified otherwise) $\text{SNR}_{\text{out}}=1$ (0dB).

Design Tradeoffs between linearity and Sensitivity Optimization

▶ Sensitivity Optimization

- First stage with high gain
- First stage with low NF

▶ Linearity Optimization

- Limit the gain of the first stages
- Last stage with high IP